# **Vermont CARTS FY2021 Report**

## **Basic State Information**

### Welcome!

We already have some information about your state from our records. If any information is incorrect, please contact the <a href="mailto:mdct\_help@cms.hhs.gov">mdct\_help@cms.hhs.gov</a>.

1. State or territory name:	
Vermont	
2.	
Program type:	
Both Medicaid Expansion CHIP and Separate CHIP	
Medicaid Expansion CHIP only	
<ul> <li>Separate CHIP only</li> </ul>	
3. CHIP program name(s):	
Medicaid/Dr. Dynasaur	

Who should we contact if we have any questions about your report?
4. Contact name:
Susan Coburn
5. Job title:
CHIP Administrator
6. Email:
susan.coburn@vermont.gov
7. Full mailing address:
Include city, state, and zip code.
Department of Vermont Health Access 280 State Drive Bldg E Waterbury, VT 05671
8. Phone number:
802-578-9412

#### PRA Disclosure Statement.

This information is being collected to assist the Centers for Medicare & Medicaid Services (CMS) in partnership with States with the ongoing management of Medicaid and CHIP programs and policies. This mandatory information collection (42 U.S.C. 1397hh) will be used to help each state meet the statutory requirements at section 2108(a) of the Social Security Act to assess the operation of the State child health plan in each Federal fiscal year and to report the results of the assessment including the progress made in reducing the number of uncovered, low-income children. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148 (CMS-10398 #1). The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

## **Program Fees and Policy Changes**

# Part 1: Medicaid Expansion CHIP Enrollment Fees, Premiums, and Delivery Systems

1.	
Does	s your program charge an enrollment fee?
$\bigcirc$	Yes
	No

2.	
Does	your program charge premiums?
•	Yes
$\bigcirc$	No
3.	
Is the maximum premium a family would be charged each year tiered by FPL?	
$\bigcirc$	Yes
•	No
4. Do premiums differ for different Medicaid Expansion CHIP populations beyond FPL (for example, by eligibility group)? If so, briefly explain the fee structure breakdown.	
CHIP premiums are \$60 per family per month.	
5.	
Which delivery system(s) do you use?	
Select all that apply.	
<b>✓</b>	Managed Care
	Primary Care Case Management
	Fee for Service

6. Which delivery system(s) are available to which Medicaid Expansion CHIP populations? Indicate whether eligibility status, income level, age range, or other criteria determine which delivery system a population receives.

Vermont Medicaid operated as managed care PIHP like under Vermont's Global Commitment Health 1115 Waiver.

# Part 2: Separate CHIP Enrollment Fees, Premiums, and Delivery Systems

# Part 3: Medicaid Expansion CHIP Program and Policy Changes

Indicate any changes you've made to your Medicaid Expansion CHIP program policies in the past federal fiscal year. Many changes listed in this section require a State Plan Amendment (SPA), while some don't, such as changing outreach efforts or changing the health plan enrollment process. Please submit a SPA to reflect any changes that do require a SPA.

1.	
Have you made any changes to the eligibility determination process?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

2.	
Have	you made any changes to the eligibility redetermination process?
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
3.	
Have	you made any changes to the eligibility levels or target populations?
For e	xample: increasing income eligibility levels.
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
4.	
Have	you made any changes to the benefits available to enrollees?
For e	xample: adding benefits or removing benefit limits.
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

5.	
Have you made any changes to the single streamlined application?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
6.	
Have you made any changes to your outreach efforts?	
For example: allotting more or less funding for outreach, or changing your target population.	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

7.	
Have you made any changes to the delivery system(s)?	
For example: transitioning from Fee for Service to Managed Care for different Medicaid Expansion CHIP populations.	
○ Yes	
<ul><li>No</li></ul>	
O N/A	
8.	
Have you made any changes to your cost sharing requirements?	
For example: changing amounts, populations, or the collection process.	
• Yes	
O No	
O N/A	

9.		
Have you made any changes to the substitution of coverage policies?		
For ex	xample: removing a waiting period.	
$\bigcirc$	Yes	
•	No	
$\bigcirc$	N/A	
10.		
Have you made any changes to the enrollment process for health plan selection?		
$\bigcirc$	Yes	
•	No	
$\bigcirc$	N/A	

Have you made any changes to the protections for applicants and enrollees?	
For example: changing from the Medicaid Fair Hearing process to the review process used by all health insurance issuers statewide.	
O Yes	
• No	
O N/A	
12.	
Have you made any changes to premium assistance?	
For example: adding premium assistance or changing the population that receives premium assistance.	
O Yes	
• No	
O N/A	

11.

13.	
Have you made any changes to the methods and procedures for preventing, investigating, or referring fraud or abuse cases?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
14.	
Have	you made any changes to eligibility for "lawfully residing" pregnant women?
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
15.	
Have you made any changes to eligibility for "lawfully residing" children?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

16.		
Have	you made changes to any other policy or program areas?	
•	Yes	
$\bigcirc$	No	
$\bigcirc$	N/A	
17. Briefly describe why you made these changes to your Medicaid Expansion CHIP program.		
Changes to eligibility and enrollment were made in response to the COVID-19 public health emergency including extending Medicaid coverage periods, not ending Medicaid coverage unless requested by the member, or when a move out of state is verified. Premiums are temporarily waived during the public health emergency.		
18.		
Have you already submitted a State Plan Amendment (SPA) to reflect any changes that require a SPA?		
$\bigcirc$	Yes	
$\bigcirc$	No	
•	N/A	

**Part 4: Separate CHIP Program and Policy Changes** 

### **Enrollment and Uninsured Data**

### Part 1: Number of Children Enrolled in CHIP

This table is pre-filled with your SEDS data for the two most recent federal fiscal years (FFY). If the information is inaccurate, adjust your data in SEDS (go to line 7: "Unduplicated Number Ever Enrolled" in your fourth quarter SEDS report) and then refresh this page. If you're adjusting data in SEDS, allow one business day for the CARTS data below to update.

Program	Number of children enrolled in FFY 2020	Number of children enrolled in FFY 2021	Percent change
Medicaid Expansion CHIP	4,748	4,823	1.58%
Separate CHIP	0	0	0%

1. If you had more than a 3% percent change from last year, what are some possible reasons why your enrollment numbers changed?

### Part 2: Number of Uninsured Children in Your State

This table is pre-filled with data on uninsured children (age 18 and under) who are below 200% of the Federal Poverty Level (FPL) based on annual estimates from the American Community Survey. Due to the impacts of the COVID-19 PHE on collection of ACS data, the 2020 children's uninsurance rates are currently unavailable. Please skip to Question 3.

Year	Number of uninsured children	Margin of error	Percent of uninsured children (of total children in your state)	Margin of error
2016	1,000	0	0.8%	0.4%
2017	1,000	1,000	0.8%	0.5%
2018	1,000	1,000	0.6%	0.4%
2019	2,000	1,000	1.3%	0.6%
2020	Not Answered	Not Answered	Not Answered	Not Answered

Percent change between 2019 and 2020
Not Available

1. What are some reasons why the number and/or percent of uninsured children has changed?

2.		
Are there any reasons why the American Community Survey estimates wouldn't be a precise representation of the actual number of uninsured children in your state?		
O Yes		
• No		
3.		
Do you have any alternate data source(s) or methodology for measuring the number and/or percent of uninsured children in your state?		
O Yes		
<ul><li>No</li></ul>		
4. Is there anything else you'd like to add about your enrollment and uninsured data?		
Vermont's percent of uninsured children remains low.		
5.		
Optional: Attach any additional documents here.		
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.		
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse		

## **Eligibility, Enrollment, and Operations**

## **Program Outreach**

1.	
Have you changed your outreach methods in the last federal fiscal year?	
○ Yes	
<ul><li>No</li></ul>	
2.	
Are you targeting specific populations in your outreach efforts?	
For example: minorities, immigrants, or children living in rural areas.	
O Yes	
<ul><li>No</li></ul>	

3. What methods have been most effective in reaching low-income, uninsured children?

For example: TV, school outreach, or word of mouth.

A combination of school-based outreach and general outreach has proven effective. Since 2007, outreach has been conducted under the umbrella of Green Mountain Care which includes Dr. Dynasaur coverage for children in Medicaid and CHIP. Additional outreach and information activities are conducted through Vermont Health Connect, the state-based exchange. Ongoing outreach efforts continue to contribute to Vermont's high rate of enrollment.

4. Is there anything else you'd like to add about your outreach efforts?
The State continues to conduct outreach using similar methods as prior years.  Vermont Health Connect continues to be supported by Assistors (Navigators, and Certified Application Counselors) working throughout the State.
5.
Optional: Attach any additional documents here.
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Eligibility Enrollment and Operations
Eligibility, Enrollment, and Operations
Substitution of Coverage
Substitution of coverage (also known as crowd-out) occurs when someone with private insurance drops their private coverage and substitutes it with publicly funded insurance such as CHIP.
1.
Do you track the number of CHIP enrollees who have access to private insurance?
Do you track the number of CHIP enrollees who have access to private insurance?  Yes

2.		
Do you match prospective CHIP enrollees to a database that details private insurance status?		
$\bigcirc$	Yes	
•	No	
$\bigcirc$	N/A	
	%	
5. Is there anything else you'd like to add about substitution of coverage that wasn't already covered? Did you run into any limitations when collecting data?		
6.		
Optio	nal: Attach any additional documents here.	
files.	Choose Files and make your selection(s) then click Upload to attach your Click View Uploaded to see a list of all files attached here. must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)	
	Browse	

# **Eligibility, Enrollment, and Operations**

# Renewal, Denials, and Retention

## **Part 1: Eligibility Renewal and Retention**

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1.
Does your state provide presumptive eligibility, allowing children to access CHIP services pending a final determination of eligibility?
This question should only be answered in respect to Separate CHIP.
O Yes
O No
• N/A
2.
In an effort to retain children in CHIP, do you conduct follow-up communication with families through caseworkers and outreach workers?
O Yes
<ul><li>No</li></ul>

3.		
Do you send renewal reminder notices to families?		
<ul><li>Yes</li></ul>		
O No		
4. What else have you done to simplify the eligibility renewal process for families?		
5. Which retention strategies have you found to be most effective?		
6. How do you measure the effectiveness of your retention strategies? What data sources and methodology do you use to track retention?		
7. Is there anything else you'd like to add that wasn't already covered?		
Eligibility renewals and redeterminations, other than autorenewals, are on hold during the public health emergency.		

# Part 2: CHIP Eligibility Denials (Not Redetermination)

1.	
How many applicants were denied CHIP coverage in FFY 2021?	
Don't include applicants being considered for redetermination collected in Part 3.	- this data will be
2.	
How many applicants were denied CHIP coverage for procedu	ral reasons?
For example: They were denied because of an incomplete app documentation, or a missing enrollment fee.	lication, missing

How many applicants were denied CHIP coverage for eligibility reasons?

For example: They were denied because their income was too high or too low, they were determined eligible for Medicaid instead, or they had other coverage available.

3a.

How many applicants were denied CHIP (Title XXI) coverage and determined eligible for Medicaid (Title XIX) instead?

4.

How many applicants were denied CHIP coverage for other reasons?

5. Did you have any limitations in collecting this data?

Vermont operates as Medicaid Expansion CHIP and does not operate a separate CHIP program. Medicaid and CHIP eligibility is screened simultaneously, and an individual is only designated as Title XXI eligible after they have been deemed Medicaid eligible. Therefore, the State cannot report denials of Title XXI coverage at the time of initial application.

Table: CHIP Eligibility Denials (Not Redetermination)

This table is auto-populated with the data you entered above.

Туре	Number	Percent
Total denials	Not Answered	Not Answered
Denied for procedural reasons	Not Answered	Not Answered
Denied for eligibility reasons	Not Answered	Not Answered
Denials for other reasons	Not Answered	Not Answered

### **Part 3: Redetermination in CHIP**

Redetermination is the process of redetermining whether a child is eligible to renew in CHIP (Title XXI) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in CHIP in FFY 2021?

2.
Of the eligible children, how many were then screened for redetermination
4183
3.
How many children were retained in CHIP after redetermination?

4.

How many children were disenrolled in CHIP after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

0 Computed: 4a. How many children were disenrolled for procedural reasons? This could be due to an incomplete application, missing documentation, or a missing enrollment fee. 4b. How many children were disenrolled for eligibility reasons? This could be due to income that was too high or too low, eligibility in Medicaid (Title XIX) instead, or access to private coverage.

4c.

How many children were disenrolled for other reasons?

### 5. Did you have any limitations in collecting this data?

Redeterminations were conducted but members were not disenrolled during the public health emergency. Line 3 indicates the children who were auto-renewed and fully redetermined. Children were not disenrolled following the redetermination process.

Table: Redetermination in CHIP

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	4183	100%
Children retained after redetermination	2052	49.06%
Children disenrolled after redetermination	0	0%

Table: Disenrollment in CHIP after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	0	Not Answered
Children disenrolled for procedural reasons	Not Answered	Not Answered
Children disenrolled for eligibility reasons	Not Answered	Not Answered
Children disenrolled for other reasons	Not Answered	Not Answered

### **Part 4: Redetermination in Medicaid**

Redetermination is the process of redetermining whether a child is eligible to renew in Medicaid (Title XIX) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in Medicaid in FFY 2021?

59256

2.

Of the eligible children, how many were then screened for redetermination?

	_	

How many children were retained in Medicaid after redetermination?

4.

instead.

How many children were disenrolled in Medicaid after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

Computed:

4a.

How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

4b.

How many children were disenrolled for eligibility reasons?

This could be due to an income that was too high and/or eligibility in CHIP

4c.

How many children were disenrolled for other reasons?

### 5. Did you have any limitations in collecting this data?

Redeterminations were conducted but members were not disenrolled during the public health emergency. Line 3 indicates the children who were auto-renewed and fully redetermined. Children were not disenrolled following the redetermination process.

Table: Redetermination in Medicaid

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	59256	100%
Children retained after redetermination	28826	48.65%
Children disenrolled after redetermination	0	0%

Table: Disenrollment in Medicaid after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	0	Not Answered
Children disenrolled for procedural reasons	Not Answered	Not Answered
Children disenrolled for eligibility reasons	Not Answered	Not Answered
Children disenrolled for other reasons	Not Answered	Not Answered

# Part 5: Tracking a CHIP cohort (Title XXI) over 18 months

Tracking a cohort of children enrolled in CHIP (Title XXI) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years who are newly enrolled in CHIP and/or Medicaid as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported on the number of children at the start of the cohort (Jan - Mar 2020) and six months later (July - Sept 2020). This year you'll report on the same cohort at 12 months (Jan - Mar 2021) and 18 months later (July - Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

#### Helpful hints on age groups

No

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

March 2020 must be born after February 2004, and children newly enrolled in
1.
How does your state define "newly enrolled" for this cohort?
Newly enrolled in CHIP: Children in this cohort weren't enrolled in CHIP (Title XXI) during the previous month. For example: Newly enrolled children in January 202 weren't enrolled in CHIP in December 2019.
Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.
2.
Do you have data for individual age groups?
If not, you'll report the total number for all age groups (0-16 years) instead.
<ul><li>Yes</li></ul>

January - March 2020 (start of the cohort): included in 2020 report.

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3.

How many children were newly enrolled in CHIP between January and March 2020?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
27	41	49	26

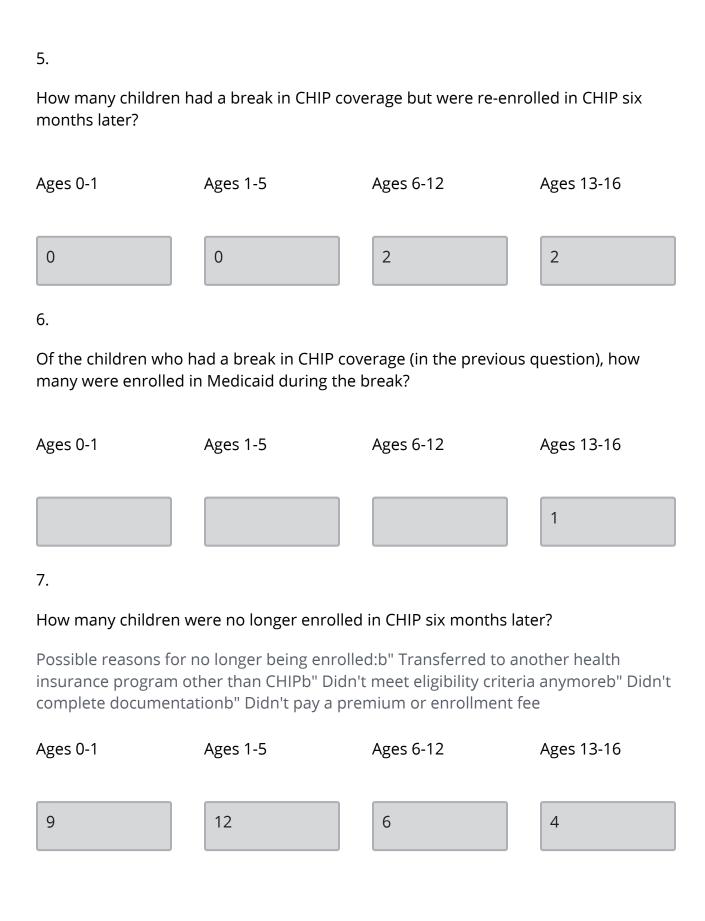
July - September 2020 (6 months later): included in 2020 report.

4.

How many children were continuously enrolled in CHIP six months later?

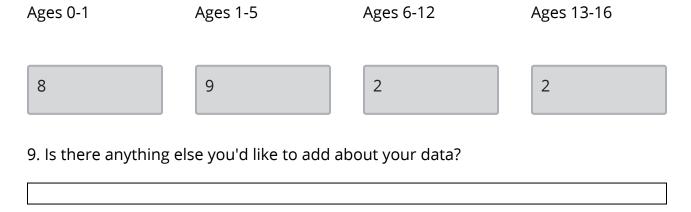
Only include children that didn't have a break in coverage during the six-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
18	29	41	21





Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid six months later?



January - March 2021 (12 months later): to be completed this year.

This year, please report data about your cohort for this section

10.

How many children were continuously enrolled in CHIP 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
23	32	33	16



How many children had a break in CHIP coverage but were re-enrolled in CHIP 12 months later?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

0 2 2

#### 12.

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

#### 13.

How many children were no longer enrolled in CHIP 12 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than CHIPb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

4 9 14 6



Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 12 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
3	5	5	3

July - September of 2021 (18 months later): to be completed this year

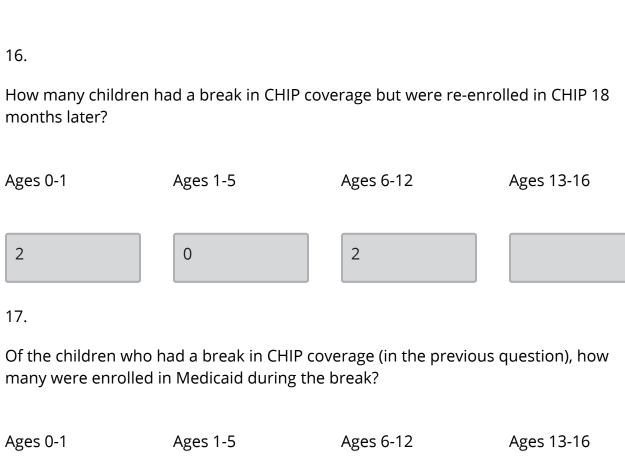
This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in CHIP 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
19	28	30	14



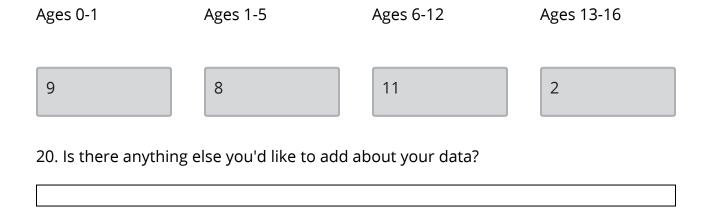


How many children were no longer enrolled in CHIP 18 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than CHIPb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
110	89	114	38

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 18 months later?



# Part 6: Tracking a Medicaid (Title XIX) cohort over 18 months

Tracking a cohort of children enrolled in Medicaid (Title XIX) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years, who are newly enrolled in Medicaid and/or CHIP as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported the number of children identified at the start of the cohort (Jan-Mar 2020) and six months later (July-Sept 2020). This year you'll report numbers for the same cohort at 12 months (Jan-Mar 2021) and 18 months later (July-Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

#### Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2021. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2021 must be born after January 2004. Similarly, children who are newly enrolled in February 2021 must be born after February 2004, and children newly enrolled in March 2021 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

Newly enrolled in Medicaid: Children in this cohort weren't enrolled in Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in Medicaid in December 2019.

Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2.

Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

Yes

O No

#### January - March 2020 (start of the cohort): included in 2020 report

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3.

How many children were newly enrolled in Medicaid between January and March 2020?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
772	491	626	267

#### July - September 2020 (6 months later): included in 2020 report

You completed this section in your 2020 CARTS report. Please refer to that report to assist in filling out this section if needed.

4.

How many children were continuously enrolled in Medicaid six months later?

Only include children that didn't have a break in coverage during the six-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
761	467	608	260

5.			
How many children ha Medicaid six months l		coverage but were re-e	enrolled in
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
2		2	
6.			
	ad a break in Medicaid n CHIP during the break	coverage (in the previo	ous question), how
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
7.			
How many children w	ere no longer enrolled	in Medicaid six months	s later?
insurance program ot	her than Medicaidb" D	d:b" Transferred to and idn't meet eligibility critory a premium or enrollm	teria anymoreb"
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
9	25	16	7

8.			
	vere no longer enrolled led in CHIP six months	d in Medicaid (in the press later?	evious question),
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
9. Is there anything el	se you'd like to add ab	out your data?	
No Value from Last \	/ear		
January - March 2021	(12 months later): to b	e completed this year	
This year inlease report data about your cohort for this section			

How many children were continuously enrolled in Medicaid 12 months later?

Only include children that didn't have a break in coverage during the 12-month

Ages 6-12

553

Ages 13-16

238

Ages 1-5

429

10.

period.

Ages 0-1

711

How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 12 months later?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

2 0 0

12.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

13.

How many children were no longer enrolled in Medicaid 12 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than Medicaidb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

69 63 75 24

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 12 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
2	4	4	0

July - September of 2021 (18 months later): to be completed next year

This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in Medicaid 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
670	403	514	225



How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 18 months later?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

2 0 0

17.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

18.

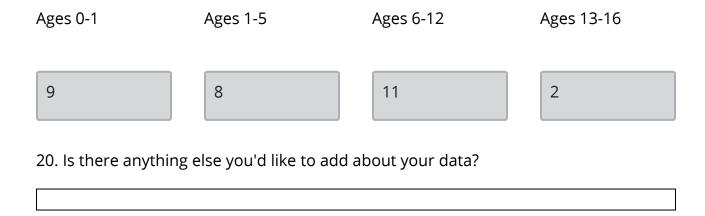
How many children were no longer enrolled in Medicaid 18 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than Medicaidb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

110 89 114 38

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 18 months later?



# Eligibility, Enrollment, and Operations

## **Cost Sharing (Out-of-Pocket Costs)**

States can choose whether or not to require cost sharing in their CHIP program. Cost sharing includes payments such as enrollment fees, premiums, deductibles, coinsurance, and copayments.

## Eligibility, Enrollment, and Operations

# **Employer Sponsored Insurance and Premium Assistance**

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.

Does your state offer ESI including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI demonstration?

O Yes

No

# Eligibility, Enrollment, and Operations Program Integrity

Eligibility, Enrollment, and Operations

Dental Benefits

# Eligibility, Enrollment, and Operations CAHPS Survey Results

Children's Health Insurance Program Reauthorization Act (CHIPRA) requires that all CHIP programs submit survey results from the Consumer Assessment of Healthcare Providers and Systems (CAHPS). The survey assesses your CHIP program quality and customer satisfaction. For the 2021 CARTS report, we highly encourage states to report all raw CAHPS data to the Agency for Healthcare Research and Quality (AHRQ) CAHPS Database instead of reporting a summary of the data via CARTS. For 2022, the only option for reporting CAHPS results will be through the submission of raw data to

1.
Did you collect the CAHPS survey?
<ul><li>Yes</li></ul>
O No
Part 2: You collected the CAHPS survey
Since you collected the CAHPS survey, please complete Part 2.
1.
Upload a summary report of your CAHPS survey results.
This is optional if you already submitted CAHPS raw data to the AHRQ CAHPS database. Submit results only for the CHIP population, not for both Medicaid (Title XIX) and CHIP (Title XXI) together. Your data should represent children enrolled in al types of delivery systems (Managed Care, PCCM, and Fee for Service).
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
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ARHQ.

2.	
Which	n CHIP population did you survey?
•	Medicaid Expansion CHIP
$\bigcirc$	Separate CHIP
$\bigcirc$	Both Separate CHIP and Medicaid Expansion CHIP
$\bigcirc$	Other
3.	
Which	n version of the CAHPS survey did you use?
•	CAHPS 5.0
$\bigcirc$	CAHPS 5.0H
$\bigcirc$	Other

6. Is there anything else you'd like to add about your CAHPS survey results?	
Other	
HRQ CAHPS	
NCQA HEDIS CAHPS 5.0H	
Select all that apply.	
Which administrative protocol did you use to administer the survey?	
5.	
Other	
Children with Chronic Conditions	
None	
Select all that apply.	
Which supplemental item sets did you include in your survey?	
4.	

#### **Eligibility, Enrollment, and Operations**

#### **Health Services Initiative (HSI) Programs**

All states with approved HSI program(s) should complete this section. States can use up to 10% of their fiscal year allotment to develop Health Services Initiatives (HSI) that provide direct services and other public health initiatives for low-income children. [See Section 2105(a)(1)(D)(ii) of the Social Security Act.] States can only develop HSI programs after funding other costs to administer their CHIP State Plan, as defined in regulations at 42 CFR 457.10.

1.

Does your state operate Health Service Initiatives using CHIP (Title XXI) funds?

Even if you're not currently operating the HSI program, if it's in your current approved CHIP State Plan, please answer "yes."

'es

$\overline{}$	
( _ )	No
$\bullet$	INO

### **State Plan Goals and Objectives**

#### Part 1: Tell us about your goals and objectives

Tell us about the progress you've made on your performance goals in the past year. The objectives and goals you add to this section should match those reflected in your CHIP State Plan, Section 9. Submit a CHIP State Plan Amendment (SPA) if any of them are different. Objective 1 is required. We've provided examples for other objectives, but you can edit them so they match the objectives in your CHIP State Plan. You can add additional objectives and goals to fit what's in your CHIP State Plan.

<ol> <li>Briefly describe your goal for this objec</li> </ol>	ctive.
---	--------

For example: In an effort to reduce the number of uninsured children, our goal is to enroll 90% of eligible children in the CHIP program.

Vermont's goal is for 98% if children to have credible health insurance coverage.

2.

What type of goal is it?

- O New goal
- Continuing goal
- O Discontinued goal

Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP in the last federal fiscal year.

All children in Vermont.

4.

Numerator (total number)

126000

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of eligible children in the last federal fiscal year.

All insured children in Vermont.

6.

Denominator (total number)

128100

**Computed:** 98.36%

What is the date range of your data?

#### **Start**

mm/yyyy

01

/

2017

#### **End**

mm/yyyy

12

/

2017

8.

Which data source did you use?

- O Eligibility or enrollment data
- Survey data
- Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?
The Vermont household insurance survey was conducted in 2017. The rate of insured remains high at 98.4%.
10. What are you doing to continually make progress towards your goal?
Vermont Medicaid and partners have continued activities to inform and support enrollment in Medicaid and CHIP.
11. Anything else you'd like to tell us about this goal?
12.
Do you have any supporting documentation?
Optional
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
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Do you have another in this list?

## Do you have another objective in your State Plan?

Optional

Optional

#### **Part 2: Additional questions**

1. Do you have other strategies for measuring and reporting on your performance goals? What are these strategies, and what information have you found through this research?

CHIP is one of the children's health insurance programs offered under Dr. Dynasaur in Vermont. Dr. Dynasaur uses the same medical providers for all covered children. Vermont's Global Commitment Core Measure Set includes annual well-child visits and access to primary care. Measures are reported annually for the pediatric child core set.

2. Do you plan to add new strategies for measuring and reporting on your goals and objectives? What do you plan to do, and when will this data become available?

Not at this time.

3. Have you conducted any focused studies on your CHIP population? (For example: studies on adolescents, attention deficit disorder, substance use, special healthcare needs, or other emerging healthcare needs.) What have you discovered through this research?

Vermont is Medicaid Expansion CHIP and has not conducted any focus studies on the CHIP population.

Optional: Attach any additional documents here.

For example: studies, analyses, or any other documents that address your performance goals.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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### **Program Financing**

Tell us how much you spent on your CHIP program in FFY 2021, and how much you anticipate spending in FFY 2022 and 2023.

#### **Part 1: Benefit Costs**

Please type your answers in only. Do not copy and paste your answers.

1.

How much did you spend on Managed Care in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023

**\$** 13,436,313 **\$** 12,895,777 **\$** 12,895,777



How much did you spend on Fee for Service in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 **\$** N/A **\$** 

3.

How much did you spend on anything else related to benefit costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 **\$** 

4.

How much did you receive in cost sharing from beneficiaries to offset your costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023

**\$** 2,940 **\$** 1,230,180

Table 1: Benefits Costs

This table is auto-populated with the data you entered above.

Туре	FFY 2021	FFY 2022	FFY 2023
Managed Care	13436313	12895777	12895777
Fee for Service	N/A	Not Answered	Not Answered
Other benefit costs	Not Answered	Not Answered	Not Answered
Cost sharing payments from beneficiaries	2940	615,090	1230180
Total benefit costs	Not Available	Not Available	14125957

### **Part 2: Administrative Costs**

Please type your answers in only. Do not copy and paste your answers.

How much did you spend on personnel in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

This includes wages, salaries, and other employee costs.

2021	2022	2023
<b>\$</b> 806,002	<b>\$</b> 736,841	<b>\$</b> 699,936

2.

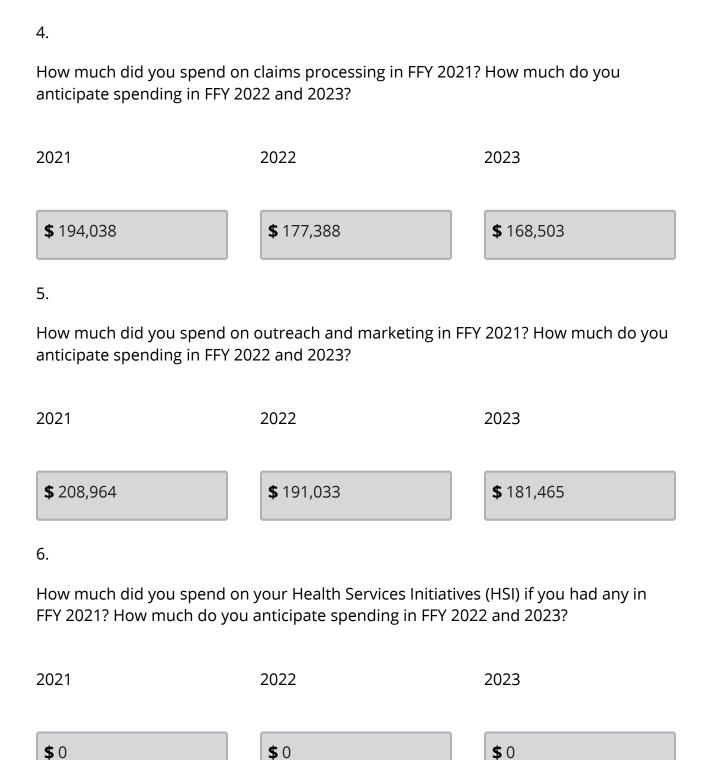
How much did you spend on general administration in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021	2022	2023
<b>\$</b> 119,407	<b>\$</b> 109,162	<b>\$</b> 103,694

3.

How much did you spend on contractors and brokers, such as enrollment contractors in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021	2022	2023
<b>\$</b> 89,556	<b>\$</b> 81,871	<b>\$</b> 77,771



How much did you spend on anything else related to administrative costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023

**\$** 74,630 **\$** 68,226 **\$** 64,809

#### Table 2: Administrative Costs

This table is auto-populated with the data you entered above. Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.

Туре	FFY 2021	FFY 2022	FFY 2023
Personnel	806002	736,841	699936
General administration	119407	109162	103694
Contractors and brokers	89556	81871	77771
Claims processing	194038	177388	168503
Outreach and marketing	208964	191033	181465
Health Services Initiatives (HSI)	0	0	0
Other administrative costs	74630	68,226	64809
Total administrative costs	1492597	Not Available	1296178
10% administrative cap	1492597	1364520.78	1296177.44

#### Table 3: Federal and State Shares

CHIP is funded by federal and state budgets. The federal share of funding is calculated by multiplying your state's Federal Medical Assistance Percentage (eFMAP) by your total program costs (the sum of your benefit and administrative costs). The remaining amount of your total program costs is covered by your state share of funding. This table is auto-calculated using the data you entered above. The federal and state shares for FFY 2023 will be calculated once the eFMAP rate for 2023 becomes available. In the meantime, these values will be blank.

FMAP Table	FFY 2021	FFY 2022	FFY 2023
Total program costs	14931850	14875388	15422135
eFMAP	68.2	69.53	Not Available
Federal share	10183521.7	10342857.28	Not Available
State share	4748328.3	4532530.72	Not Available

8.					
What	What were your state funding sources in FFY 2021?				
Select	t all that apply.				
<b>✓</b>	State appropriations				
	County/local funds				
	Employer contributions				
	Foundation grants				
	Private donations				
	Tobacco settlement				
	Other				
9.					
Did yo	ou experience a shortfall in federal CHIP funds this year?				
$\bigcirc$	Yes				
•	No				

# **Part 3: Managed Care Costs**

Complete this section only if you have a Managed Care delivery system.

How many children were eligible for Managed Care in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

2021 2022 2023

53400 52488

2.

What was your per member per month (PMPM) cost based on the number of children eligible for Managed Care in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

Round to the nearest whole number.

2021 2022 2023

**\$** 253 **\$** 253

Туре	FFY 2021	FFY 2022	FFY 2023
Eligible children	53400	52488	52488
PMPM cost	259	253	253

#### **Part 4: Fee for Service Costs**

Complete this section only if you have a Fee for Service delivery system.

How many children were eligible for Fee for Service in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

2021	2022	2023

#### 2.

What was your per member per month (PMPM) cost based on the number of children eligible for Fee For Service in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

The per member per month cost will be the average cost per month to provide services to these enrollees. Round to the nearest whole number.

2021 2022 2023

\$ \$		\$

Туре	FFY 2021	FFY 2022	FFY 2023	
Eligible children	Not Answered	Not Answered	Not Answered	
PMPM cost	Not Answered	Not Answered	Not Answered	

1. Is there anything else you'd like to add about your program finances that wasn't already covered?

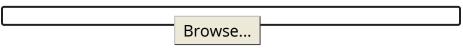
Premiums are suspended during the public health emergency period. The projected costs reflect the ending of the PHE.

2.

Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)



## **Challenges and Accomplishments**

1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?

No substantive changes to programs and services occurred this past year. The State continues to monitor impacts related to the public health emergency. Changes in eligibility or coverage are not planned at this time.

2. What's the greatest challenge your CHIP program has faced in FFY 2021?

The greatest challenges have been associated with the public health emergency. The state is preparing for eligibility redeterminations and renewals when the public health emergency period ends. Vermont is in the process of Vermont's Global Commitment to Health 1115 waiver renewal. Vermont has demonstrated significant achievements under the current waiver and hopes to maintain the flexibilities in payment and program delivery to maintain and build upon these successes.

3. What are some of the greatest accomplishments your CHIP program has experienced in FFY 2021?

Vermont continues to see a very high rate of insured children and strives to maintain this level of coverage.

4. What changes have you made to your CHIP program in FFY 2021 or plan to make in FFY 2022? Why have you decided to make these changes?

No changes were made or are planned for the next year. Vermont continues to provide all Medicaid covered services to children enrolled in CHIP as a Medicaid Expansion CHIP program.

5. Is there anything else you'd like to add about your state's challenges and	
accomplishments?	

Optional: Attach any additional documents here.

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files.	Click View Uploaded to see a list of all files attached here.	

Files must be in one of t	hese forma	ts: PDF, Word,	Excel, or a	valid image	(jpg or png)
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